

Validation rules for Web-Form P6-P6A

| Section/Field name | Field Type | Feature | Validation |
|--|---|---|-------------------------------|
| Important Notes | Note | Please refer to “Common Features for All Patents-Forms”. | |
| 01. Reference | Section | | |
| Filer’s reference | Text | | |
| 02. Details of applicant(s) | Section | Section Repetition: Yes | |
| If there is more than one applicant, please provide details of all applicants. | Note for 2 | | |
| (a) Name in English | Text | | ● Not mandatory |
| (b) Name in Chinese | Text | | ● Not mandatory |
| (c) Address [Line 1: Flat/Floor/Building] | Text | | |
| (c) Address [Line 2: Street/District] | Text | | |
| (c) Address: [Line 3: Country/Territory/Area] | List | | ● Not mandatory |
| (d) Applicant type | Checkbox Possible Value: <ul style="list-style-type: none"> Individual Incorporated Unincorporated | <ul style="list-style-type: none"> Editable for all users After user marks on a checkbox, the remaining two should be disabled. If ‘Incorporated’ is selected, system should enable field (e). | Only one type can be selected |
| Please mark one box only. | Note for 02(d) | | |
| (e) Country/Territory/Area of incorporation | List | | |

Validation rules for Web-Form P6-P6A

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| (f) State of incorporation | List Possible value: States of US | <ul style="list-style-type: none"> Disable this field if the country of incorporation selected in (d) above is not “United States of America (US)”. | |
| For applicant incorporated in the United States. | Note for 02(f) | | |
| 03. Title of invention | Section | | |
| You must state the title of the invention in both English and Chinese. | Note for 03 | | |
| (a) English | Text | | ● Not mandatory. |
| (b) Chinese | Text | | ● Not mandatory |
| 04. Use of micro-organisms | Section | | |
| Go to Part 05 if the invention does NOT require the use of a micro-organism for its performance. If the invention requires the use of a micro-organism for its performance, please mark the appropriate box(es). (Section 73 and Schedule 1, Patents (General) Rules) | Note for 04 | | |
| (a) Is the micro-organism available to the public at the date of filing of the application; and | Checkbox Possible Values: <ul style="list-style-type: none"> Yes No | One value can be selected. If user selects “No” in 4(a) and 4(b), the system will make editable section (c). | |
| (b) Is the micro-organism described | Checkbox | One value can be selected. | |

Validation rules for Web-Form P6-P6A

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| in the application or the specification of the patent in such a manner as to enable the invention to be performed by a person skilled in the art. | Possible Values: <ul style="list-style-type: none"> • Yes • No | | |
| (c) If you have marked “No” in both boxes in (a) and (b), please provide the following details: | Note | | |
| (i) Name of the depositary institution | Text | | |
| (ii) Address of the depositary institution | Text | | |
| (iii) Date of deposit | Date | | |
| (iv) Accession no. of the deposit | Text | | |
| 05. Details of international application | Section | | All fields under this part should be optional, i.e. not mandatory. |
| Go to Part 06 if the short-term patent application is NOT based on an international application. If the short-term patent application is based on an international application, please provide the following details. (Section 125, Patents Ordinance and section 78, Patents (General) Rules) | Note for 05 | | |
| (a) International application no. | Text | | |

Validation rules for Web-Form P6-P6A

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| (b) International filing date | Date | | <ul style="list-style-type: none"> ● Must not be later than the publication date in this section if the publication date is not blank ● Must not be later than the current date |
| (c) International publication no. | Text | | |
| (d) International publication date | Date | | <ul style="list-style-type: none"> ● Must not be earlier than the filing date in this section if the filing date is not blank |
| (e) Date of entry into the national phase in the People's Republic of China or | Date | | <ul style="list-style-type: none"> ● Must be later than the filing date in this section if the filing date is not blank |
| Date of issuance of the National Application Notification by the State Intellectual Property Office, People's Republic of China | Date | | <ul style="list-style-type: none"> ● Must be later than the filing date in this section if the filing date is also not blank |
| (f) Application no. of the Chinese patent application | Text | | |
| (if known) | Note for 05(f) | | |
| 06. Details of earlier application | Section | | |
| Go to <u>Part 07</u> if you do NOT claim the filing date of an earlier application. | Note for 06 | | |

Validation rules for Web-Form P6-P6A

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| If the application is divided or derived from an earlier Hong Kong application, please provide the following details. | | | |
| (a) Type | <p>Checkbox</p> <p>Possible values:</p> <ul style="list-style-type: none"> ● Divisional application (section 116, Patents Ordinance) ● New application filed on court order upon determination of right to patent after grant (section 55, Patents Ordinance) | <p>Only one can be selected.</p> <p>After the user selects one of the possible values, the system will enable the existing fields (b) and (c)</p> | |
| Please mark one box only. | Note for 06(a) | | |
| (b) Earlier application no. | Text | | |
| (c) Earlier application filing date | Date | | Cannot be later than the current |

Validation rules for Web-Form P6-P6A

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| | | | date. |
| 07. Statement of priority claim | Section | Section Repetition: Yes | |
| Go to <u>Part 08</u> if you do NOT claim priority. If a claim of priority under section 111, Patents Ordinance is made, please provide a statement of the following details. (Sections 58(5)(c), 69, Patents (General) Rules) | Note for 07 | | |
| Country/Territory/Area | List | | |
| Priority application no. | Text | | |
| Priority application filing date | Date | | Must not be later than the current date |
| 08 Name(s) of inventor(s) (inventor(s) must be individuals not companies) | Section | Section Repetition: Yes | Optional section. All fields can be empty. Or the applicant can just provide Name in English, but leave Name in Chinese empty, or vice versa. |
| If the applicant is not the sole inventor or the applicants are not the joint inventors, a statement identifying the inventor(s) and indicating the derivation of the applicant's entitlement to exercise his right to the short-term patent must be | Note for 08 | | |

Validation rules for Web-Form P6-P6A

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| made on Form P6A. (Section 113(2)(c), Patents Ordinance and section 65, Patents (General) Rules) If the name of the inventor is not in Roman letters or in Chinese, please include a transliteration in Roman letters. | | | |
| Name in English | Text | | |
| Name in Chinese (if applicable) | Text | | |
| 09. Statement of non-prejudicial disclosure | Section | | |
| Go to <u>Part 10</u> if you do NOT claim non-prejudicial disclosure. If the applicant is making a claim regarding non-prejudicial disclosure under section 109, Patents Ordinance, please provide a statement giving details relating to such disclosure and provide written evidence in support of that statement. (Section 109, Patents Ordinance and sections 58(5)(e), 58(5)(f), 70, Patents (General) Rules) | | | |

Validation rules for Web-Form P6-P6A

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| (a) Statement | Text | | |
| (b) Name of the exhibition | Text | | |
| (c) Place of the exhibition | Text | | |
| (d) Opening date of the exhibition | Date | | |
| (e) Date of first disclosure | Date | | Must be not later than the current date |
| (if the first disclosure did not take place on the opening date of such exhibition) | Note for 09(e) | | |
| 10. Deferral of grant | N/A | | |
| Go to <u>Part 11</u> if you do NOT request deferral of grant. If a request for deferral of grant under section 119, Patents Ordinance is required, please enter the period of such deferral. | | | |
| Deferral of grant up to | Date | | Cannot be more than 12 months from current date. |
| (The period of deferral should not exceed 12 months) | Note for 10 | | |
| 11. List of documents | Section | | |
| Please enter the no. of sheets of attached document(s), if any, in the following box(es). | Note for 11 | | |
| (a) Additional sheet(s) attached to | Attachment | ● Repeatable | |

Validation rules for Web-Form P6-P6A

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| this form | | <ul style="list-style-type: none"> ● Only PDFs allowed | |
| sheet(s) | Text | <ul style="list-style-type: none"> ● Non-editable ● Prefilled by the system when the PDF is uploaded | |
| (b) Description | Attachment | Same as Part 11(a) | |
| sheet(s) | Text | | |
| (c) Claim(s) | Attachment | Same as Part 11(a) | |
| sheet(s) | Text | | |
| (d) Drawing(s) | Attachment | Same as Part 11(a) | |
| sheet(s) | Text | | |
| (e) Abstract (in both English and Chinese) | Attachment | Same as Part 11(a) | |
| sheet(s) | Text | | |
| (f) Priority document(s) | Attachment | Same as Part 11(a) | |
| sheet(s) | Text | | |
| (g) Translation of the priority document(s) (if the previous application is not in one of the official languages) | Attachment | Same as Part 11(a) | |
| sheet(s) | Text | | |
| (h) Search report | Attachment | Same as Part 11(a) | |
| sheet(s) | Text | | |
| (i) Translation of the search report (if not in one of the official languages) | Attachment | Same as Part 11(a) | |
| sheet(s) | Text | | |

Validation rules for Web-Form P6-P6A

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| (j) In the case of an international application, copy of: | Note | | |
| (j)(i) the international application as published by the International Bureau | Attachment | Same as Part 11(a) | |
| sheet(s) | Text | | |
| (j)(ii) the international search report | Attachment | Same as Part 11(a) | |
| sheet(s) | Text | | |
| (j)(iii) translation of the international application as published by the State Intellectual Property Office, People's Republic of China | Attachment | Same as Part 11(a) | |
| sheet(s) | Text | | |
| (j)(iv) information as published by the State Intellectual Property Office, People's Republic of China concerning the international application | Attachment | Same as Part 11(a) | |
| sheet(s) | Text | | |
| (k) Statement of inventorship on Form P6A | Button named "Create P6A" | <ul style="list-style-type: none"> ● If selected, the system prefills the P6A web-form. ● When unchecked, a warning message will pop up to warn the filer that any pre-filled/auto-populated fields in P6A will be cleared | |
| (l) Others (please specify) | Attachment/Text | <ul style="list-style-type: none"> ● Repeatable | |

Validation rules for Web-Form P6-P6A

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| | | <ul style="list-style-type: none"> ● Only PDFs allowed ● When the user uploads the file, the system should enable a text field. | |
| sheet(s) | Text | Same as Part 11(a) | |
| 12. Address for service | Section | Please refer to “Common Features for All Patents-Forms”. | |
| 13. Agent’s details | Section | Please refer to “Common Features for All Patents-Forms”. | |
| 14. Signature | Section | Please refer to “Common Features for All Patents-Forms”. | |

Validation rules for Web-Form P6-P6A

P6A web-form

Collapsed and disabled by default. Enabled if P6 button ‘Create P6A’ available under “11 (k) Statement of inventorship on Form P6A” is checked.

| Section/Field name | Field Type | Feature | Validation |
|--|----------------|---|------------|
| Important Notes | Note | Please refer to “Common Features for All Patents-Forms”. | |
| 01. Reference | Section | | |
| Filer’s reference | Text | Automatically pre-filled by system from P6 web-form and non-editable. | |
| 02. Application no. | Section | | |
| (a) Application no. | Text | Non-editable field. | |
| (if filed separately from Form P6) | Note for 02(a) | | |
| 03. Details of applicant(s) | Section | Section repetition: Yes | |
| If there is more than one applicant, please provide details of <u>all</u> applicants. | Note for 03 | | |
| (a) Name in English | Text | Automatically pre-filled by system from P6 web-form and non-editable. | |
| If your name is not in Roman letters or in Chinese, please include a transliteration in Roman letters. | Note for 03(a) | | |
| (b) Name in Chinese | Text | Automatically pre-filled by system from P6 web-form and non-editable. | |
| (if applicable) | Note for 03(b) | | |
| (c) Address | Text | Automatically pre-filled by system from P6 web- | |

Validation rules for Web-Form P6-P6A

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| [Line 1: Flat/Floor/Building] | | form and non-editable. | |
| (c) Address [Line 2: Street/District] | Text | Automatically pre-filled by system from P6 web-form and non-editable. | |
| (c) Address: [Line 3: Country/Territory/Area] | List | Automatically pre-filled by system from P6 web-form and non-editable. | |
| 04. Title of invention | Section | | |
| You must state the title of the invention in both English and Chinese. | Note for 04 | | |
| (a) English | Text | Automatically pre-filled by system from P6 web-form and non-editable. | |
| (b) Chinese | Text | Automatically pre-filled by system from P6 web-form and non-editable. | |
| 05. Details of inventor(s) | Section | Section repetition: Yes | |
| 1st inventor (a) Name in English | Text | Automatically pre-filled by system from P6 web-form and non-editable. | |
| If the name of the inventor is not in Roman letters or in Chinese, please include a transliteration in Roman letters. | Note for 05(a) | | |
| (b) Name in Chinese | Text | Automatically pre-filled by system from P6 web-form and non-editable. | |
| (if applicable) | Note for 05(b) | | |
| (c) Address [Line 1: Flat/Floor/Building] | Text | | There must be texts in the field for “Flat/Floor/Building” or “Street/District”. |

Validation rules for Web-Form P6-P6A

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| (c) Address [Line 2: Street/District] | Text | | There must be texts in the field for “Flat/Floor/Building” or “Street/District”. |
| (c) Address: [Line 3: Country/Territory/Area] | List | | |
| 2nd inventor (a) Name in English | Text | Automatically pre-filled by system from P6 web-form and non-editable. | |
| If the name of the inventor is not in Roman letters or in Chinese, please include a transliteration in Roman letters. | Note for 2nd inventor (a) | | |
| (b) Name in Chinese | Text | Automatically pre-filled by system from P6 web-form and non-editable. | |
| (if applicable) | Note for 2nd inventor (b) | | |
| (c) Address [Line 1: Flat/Floor/Building] | Text | | There must be texts in the field for “Flat/Floor/Building” or “Street/District”. |
| (c) Address [Line 2: Street/District] | Text | | There must be texts in the field for “Flat/Floor/Building” or “Street/District”. |
| (c) Address: [Line 3: Country/Territory/Area] | List | | |
| 3rd inventor (a) Name in English | Text | Automatically pre-filled by system from P6 web-form and non-editable. | |

Validation rules for Web-Form P6-P6A

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| If the name is of the inventor not in Roman letters or in Chinese, please include a transliteration in Roman letters. | Note for 3rd inventor (a) | | |
| (b) Name in Chinese | Text | Automatically pre-filled by system from P6 web-form and non-editable. | |
| (if applicable) | Note for 3rd inventor (b) | | |
| (c) Address [Line 1: Flat/Floor/Building] | Text | | There must be texts in the field for “Flat/Floor/Building” or “Street/District”. |
| (c) Address [Line 2: Street/District] | Text | | There must be texts in the field for “Flat/Floor/Building” or “Street/District”. |
| (c) Address: [Line 3: Country/Territory/Area] | List | | |
| 4th inventor (a) Name in English | Text | Automatically pre-filled by system from P6 web-form and non-editable. | |
| If the name of the inventor is not in Roman letters or in Chinese, please include a transliteration in Roman letters. | Note for 4th inventor (a) | | |
| (b) Name in Chinese | Text | Automatically pre-filled by system from P6 web-form and non-editable. | |
| (if applicable) | Note for 4th | | |

Validation rules for Web-Form P6-P6A

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| | inventor (b) | | |
| (c) Address [Line 1: Flat/Floor/Building] | Text | | There must be texts in the field for “Flat/Floor/Building” or “Street/District”. |
| (c) Address [Line 2: Street/District] | Text | | There must be texts in the field for “Flat/Floor/Building” or “Street/District”. |
| (c) Address: [Line 3: Country/Territory/Area] | List | | |
| 5th inventor (a) Name in English | Text | Automatically pre-filled by system from P6 web-form and non-editable. | Same as above. |
| If the name of the inventor is not in Roman letters or in Chinese, please include a transliteration in Roman letters. | Note for 5th inventor (a) | | |
| (b) Name in Chinese | Text | Automatically pre-filled by system from P6 web-form and non-editable. | |
| (if applicable) | Note for 5th inventor (b) | | |
| (c) Address [Line 1: Flat/Floor/Building] | Text | | There must be texts in the field for “Flat/Floor/Building” or “Street/District”. |
| (c) Address [Line 2: Street/District] | Text | | There must be texts in the field for “Flat/Floor/Building” or |

Validation rules for Web-Form P6-P6A

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| | | | “Street/District”. |
| (c) Address: [Line 3: Country/Territory/Area] | List | | |
| 06. Derivation of right | Section | | |
| Please mark the following box(es) for providing a statement indicating how the applicant(s) derived the right from the inventor(s) to be entitled to exercise the right to the short-term patent. | Note for 06 | | |
| Statement | | | Mandatory |
| By contract or employment | Checkbox | | |
| By assignment of invention | Checkbox | | |
| Others (please specify) | Checkbox | If ‘Others (please specify)’ is selected, the system enables a text box. | |
| 07. Address for service | Section | Automatically pre-filled by system from P6 web-form and non-editable. | |
| 08. Agent’s details | Section | Automatically pre-filled by system from P6 web-form and non-editable. | |
| 09. Signature | Section | Please refer to “Common Features for All Patents-Forms” | |