



知識產權署  
Intellectual Property Department

**SAMPLE**

For official use

## Designs Form D1

# Application for registration of design(s)

Registered Designs Ordinance (Cap. 522)

Registered Designs Rules (Cap. 522A)

### Important notes

#### 1. General notes:

- a. This form is available in Chinese and English. Either Chinese or English can be used as the language of proceedings. Please complete this form in English unless otherwise stated. The language of proceedings will be in English.
- b. By submitting this form, you will be treated as having given consent to the Designs Registry and its service provider to copy or communicate all the information provided to any third party (in or outside Hong Kong) who assists or supports the Designs Registry in performing its functions under the Designs Ordinance, Cap. 522 and its subsidiary legislation.
- c. This form must be signed and dated.
- d. If there is not enough space for any part on this form, please continue on an additional sheet. Number each additional sheet and state the number of additional sheet(s).
- e. Please enquire through the following means:
  - E-mail: [enquiry@ipd.gov.hk](mailto:enquiry@ipd.gov.hk)
  - Internet homepage address: [www.ipd.gov.hk](http://www.ipd.gov.hk)
- f. The Registered Designs Ordinance, Cap. 522 and Registered Designs Rules, Cap 522A can be viewed at [www.ipd.gov.hk](http://www.ipd.gov.hk).

#### 2. Use of personal data:

Personal data collected by the Registrar of Designs ("the Registrar") in the forms filed with the Registrar will be used for the purposes set out in the Personal Information Collection Statement at [https://www.ipd.gov.hk/eng/personal\\_information.htm](https://www.ipd.gov.hk/eng/personal_information.htm).

#### 3. Use of other information:

- a. The Designs Registry will use any information relating to any commercial enterprise or entity provided in this form and any document(s) filed in relation to it for processing your application, request or notice, and for the purposes of collection set out in the Personal Information Collection Statement at [https://www.ipd.gov.hk/eng/personal\\_information.htm](https://www.ipd.gov.hk/eng/personal_information.htm). **They may be made available for public inspection, in full or in part, pursuant to section 70 of the Registered Designs Ordinance, Cap. 522 and section 55 of the Registered Designs Rules, Cap 522A. Such information may be accessed through the Internet.**
- b. **DO NOT include any business information of your own or that of third parties which you consider to be confidential or commercially sensitive.** Where such information is included in this form or any document(s) filed in relation to it, the Registrar will treat it an express and voluntary consent given by you and any such third party to disclosure of all such information for the purpose of public inspection.

#### 4. Submission of application/request/notice:

- a. In person or by mail with the appropriate fee to the Registrar of Designs, 24/F, Wu Chung House, No. 213 Queen's Road East, Wanchai, Hong Kong. The fee schedule can be viewed at [http://www.ipd.gov.hk/eng/forms\\_fees.htm](http://www.ipd.gov.hk/eng/forms_fees.htm). Payment can be made in person by cash, or by sending a cheque/bank draft (in Hong Kong dollars which can be cleared in Hong Kong) made payable to THE GOVERNMENT OF THE HONG KONG SPECIAL ADMINISTRATIVE REGION.
- b. This form can also be submitted electronically. For details, please visit [IPD's e-Filing System](#).

\*Denotes mandatory fields unless otherwise stated

## 01. Reference

Filer's reference

MY/2019/1

## 02. \*Details of applicant making request under section (12)(2)(a) of the Registered Designs Ordinance for registration of design(s)

If there is more than one applicant, please provide details of all applicants.

**\*(a) Name in English**

If your name is not in Roman letters or in Chinese, please include a transliteration in Roman letters.

Standard Co. Ltd.

**(b) Name in Chinese**  
(if applicable)

**\*(c) Address**

Flat/Floor/Building

25/F, Wu Chung House,

Street/District/City

213 Queen's Road East, Wanchai

Country/Territory/Area

HONG KONG

**(d) Applicant type**

Please mark one box only

☐ **Individual** Go to [Part 02\(g\)](#)

☒ **Incorporated** Go to [Part 02\(e\)](#) (and [Part 02\(f\)](#) if applicable)

☐ **Unincorporated** Go to [Part 02\(g\)](#)

**(e) Country/Territory/Area of incorporation**

HONG KONG

**(f) State of incorporation**

For applicant incorporated in the United States.

**(g) Applicant's right to the design(s)**

If the applicant is not the designer, please mark the appropriate box(es) to explain the applicant's rights in relation to the design(s). If the box "Others" is marked, please specify the details.



By virtue of employment



By virtue of assignment



Applicant commissioned the design



Others (please specify)

**03. \*Application type**

Please mark **ONE** only of the following box(es) to indicate the type of application.

<input checked="" type="checkbox"/>	<b>Single design</b>	
	Only one design included in this application	
<input type="checkbox"/>	<b>Multiple designs of articles</b>	<b>No. of designs included in this application:</b> _____
	More than one design in the same class of articles	
<input type="checkbox"/>	<b>Single set of articles</b>	<b>No. of articles included in this set:</b> _____
<input type="checkbox"/>	<b>Multiple sets of articles</b>	<b>No. of designs included in this application:</b> _____
	<b>No. of articles included in each set:</b> _____	
<p>The term "set of articles" is defined in section 2 of the Registered Designs Ordinance as two or more articles of the <b>same general character</b> that are <b>ordinarily on sale together or intended to be used together</b>, to each of which the <b>same design</b>, or the same design with modifications or variations not sufficient to alter the character or substantially to affect the identity thereof, is applied, for example, a tea set.</p>		

**04. \*Locarno Classification**

The International Classification for Industrial Designs ("the Locarno Classification") published by the World Intellectual Property Organization is adopted for classifying design articles. For details of the Locarno Classification, please refer to the website at <http://www.wipo.int/classifications/en>.

**\*(a) Class**

10

**\*(b) Sub-class**

01

**05. \*Article**

The statement identifying the article(s) or set of article(s) to which the design applies must be made in English and Chinese. It should be no more than 50 words for each language in English and Chinese.

**\*(a) English**

Alarm clock

**\*(b) Chinese**

鬧鐘

## 06. \*Statement of novelty

A statement of the features of the design for which novelty is claimed ("statement of novelty") in both English and Chinese should be included in the application. A statement of novelty is not required for textile articles or wallpaper.

You can provide the statement of novelty by either of the following:

- a) Describing the feature(s) in both **English and Chinese** of the design by **marking ANY of the following checkbox(es)**.

The feature(s) of the design for which novelty is claimed is/are the  
表述所顯示的物品的

- ☒ pattern/式樣,  
☒ ornament/裝飾,  
☒ shape/形狀,  
☒ configuration/構形

of the article as shown in the representations.  
是聲稱具有新穎性的外觀設計特色。

**OR**

- b) Providing the statement of novelty in both **English and Chinese** in the following boxes.

**English**

**Chinese**

## 07. Details of disclosures

Go to Part 08 if you do NOT claim confidential and non-prejudicial disclosures.

### (a) Confidential disclosure

If the design(s) has/have been previously disclosed and section 9(1) of the Registered Designs Ordinance applies, please indicate so by marking the appropriate box(es).

section 9(1)(a) <input type="checkbox"/>	section 9(1)(b) <input type="checkbox"/>	section 9(1)(c) <input type="checkbox"/>	section 9(1)(d) <input type="checkbox"/>
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State the circumstances of the disclosure and the relevant dates

DD-MM-YYYY

### (b) Non-prejudicial disclosure

Disclosure at an official international exhibition under section 9(2) of the Registered Designs Ordinance.

- (i) **Name and place of exhibition**

**(ii) Opening date of exhibition**

This application should NOT be made later than 6 months after this opening date.

DD-MM-YYYY

**(iii) Date of first disclosure**

(if not on the opening date of the exhibition)

DD-MM-YYYY

**08. Priority details**

Go to Part 09 if you do NOT claim priority.

If you have duly filed an application for registration of design(s) in a Paris Convention country or WTO member within six months prior to the date of filing of this application, right of priority may be claimed.

Where priority is claimed, you are required to file a copy of certificate issued by the competent authority of the relevant Paris Convention country or WTO member verifying:

- the country, territory or area of filing
- the filing date and number
- the representation of the design
- the articles covered by the previous application

If any copy of certificates or other document relating to the previous application is in a language other than English or Chinese, you need to file a translation into English which states the translator and his official capacity (if any).

**(a) Country/Territory/Area**

CANADA

**(b) Filing date**

DD-MM-YYYY

01-10-2018

**(c) Filing no.**

2016757

If the previous application was not made in the name(s) given in Part 02, state the instrument (for example, deed of assignment) which gives the applicant the right to claim priority including appropriate name(s) and date(s).

**(d) Name of instrument**

Assignment

**(e) Date of instrument**

DD-MM-YYYY

28-09-2018

**(f) Names of parties**

Assignor: LEE HO

**09. Associated design(s)**

Go to Part 10 if you do NOT have associated application or registration.

State the application/registration number(s) and filing date(s) of any previous design application(s) or registration(s) for association under section 11 of the Registered Designs Ordinance.

**(a) Application/Registration no(s).**

**(b) Filing date**

**10. Division of application**

Go to Part 11 if you do NOT have earlier application.

State the number and filing date of any earlier application whose filing date is claimed under section 22 of the Registered Designs Ordinance.

**(a) Application no(s).**

**(b) Filing date**

**\*Representation(s) of design(s)**

1. Representation(s) of design(s) must be attached to this form.
2. The representation may be either a drawing or a photograph and shall be of a size of not more than 210mm by 297mm. If the representation is a photograph, please affix it on A4 paper.
3. If the design relates to a set of articles, the representations should show the design as applied to each article in the set.
4. Please indicate the page no. on top right corner of the representations as 1, 2, 3 accordingly. For multiple applications relating to two or more designs, please also number the representations M001, M002, M003...for the first, second, third...designs respectively and sort them into separate sets, one for each design.

**11. \*Address for service**

All correspondence and/or document(s) will be sent to the address below.

**\*(a) Name**

Standard Co. Ltd.

**\*(b) Address**

You must provide an address for service in Hong Kong. Please note that a P.O. Box or "care of" address is not acceptable.

Flat/Floor/Building

25/F, Wu Chung House,

Street/District

Queen's Road East, Wanchai

**HONG KONG**

**(c) Telephone no.**  
In Hong Kong

2803 5860

(d) Fax no.  
In Hong Kong

2838 6315

## 12. Agent's details

Go to Part 13 if you are NOT an agent.

If you have been duly authorized to act as an agent, please complete this part on behalf of the applicant(s) for the purpose of this application.

(a) Name

(b) Address

You must provide an address in Hong Kong where you reside or carry on your business activities. Please note that a P.O. Box or "care of" address is not acceptable.

Flat/Floor/Building

Street/District

**HONG KONG**

(c) Telephone no.  
In Hong Kong

(d) Fax no.  
In Hong Kong

## 13. \* Declaration

I/We confirm that I/we have read and understood the "Important notes" of this form.

I/We declare that the applicant(s) claim(s) to be the owner(s) of the design(s) in relation to the article(s) or set(s) of articles specified above.

\*(a) Authorized signature



\*(b) Name of signatory

LEE Kwok Yan

\*(c) Official capacity of signatory  
Example: Authorized person, Director, Partner or Principal Officer of Applicant(s)/Agent; Applicant in person

Director

\*(d) Date

DD-MM-YYYY

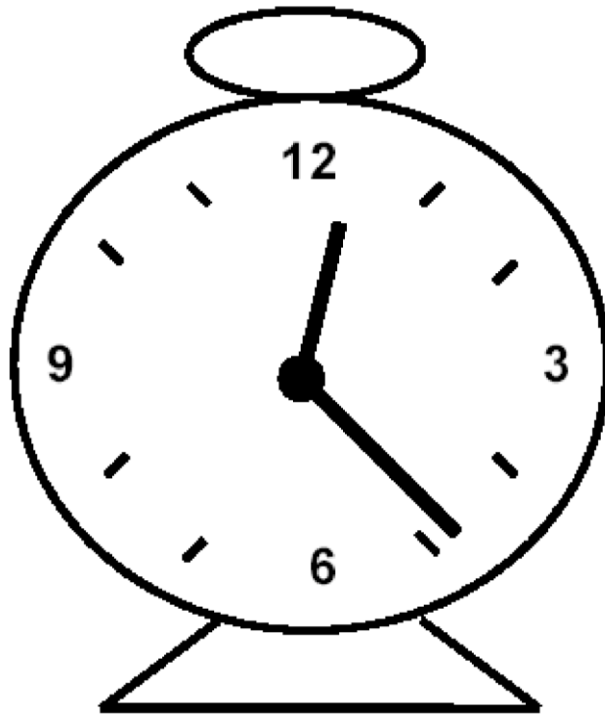
14-02-2019

## 14. Attachment(s)

Total number of attachment(s)

1





Front View

## ***Notes on Completion of Designs Form D1***

Please read carefully the notes in Form D1 and complete the form in English.

Parts 02, 03, 04, 05, 06, 11 and 13 must be completed.

Other parts may need to be completed, depending on the circumstances.

### **Part 02 (d) to (f)**

(a) To complete the field “Applicant type” in Part 02 (d), you have to indicate whether you are an individual, incorporated entity or unincorporated entity. If you are an incorporated entity, please also indicate your place of incorporation.

### **Individual**

- If you are a natural person, or natural persons filing an application in joint names, the box “Individual” should be marked.
- For a sole proprietorship established in the Hong Kong SAR, an application is usually filed in the name of the sole proprietor trading in the name of the firm (e.g. Chan Siu-ling trading as Chan & Co.). In this case, the box “Individual” should be marked.
- For a partnership established in the Hong Kong SAR, it is common for an application to list the names of the partners (or at least two of them) followed by the name of the firm (e.g. Chan Siu-ling and Lam Mei-mei trading as Chan & Lam). In this case, the box “Individual” should be marked.

### **Incorporated**

- If you are a corporation (i.e. a company incorporated in the Hong Kong SAR, or any other body corporate incorporated/established in or outside the Hong Kong SAR), please mark the box “Incorporated”.
- Thereafter, you may indicate the country/territory/area of incorporation in Part 02(e). If the corporation is incorporated in the United States, you may also fill in the state of incorporation in Part 02 (f). Such particulars, if provided by you, may be entered by the Registrar of Designs in the register of designs to

enhance the transparency of the public records and to also help the Registrar of Designs and third parties better identify the owner of a design registration.

### **Unincorporated**

- If you are an unincorporated entity which nevertheless has legal capacity to hold property under the law of the place where it is established, please mark the box “unincorporated”.
- (b) Please note that the entity named as the applicant for owner of a registered design must be capable of holding property, including the design in question. If you have any doubt as to whether you have any legal capacity to hold property, please consult your legal advisers. The Registrar is not in a position to advise you on this.
- (c) Please also note that the Registrar will not verify any information about the applicant type or place (and state) of incorporation (if applicable) as provided by you before entering such information on the register of designs. Any person who wishes to ascertain the veracity of such information should carry out independent inquiries and searches and seek independent advice, if necessary.

### **Part 03**

Please mark **one** of the boxes to indicate the type of application. If the box “Multiple designs of articles” is selected, please state the no. of designs included in the application. If the box “Single set of articles” is selected, please state the no. of articles included in the set. If the box “Multiple sets of articles” is selected, please state the no. of designs included in the application **and** the no. of articles included in each set.

### **Part 04**

Please refer to the latest edition of “International Classification for Industrial Designs” under the Locarno Agreement published by the World Intellectual Property Organization (WIPO) (“Locarno Classification”). A copy of it is available for reference at WIPO’s website <http://www.wipo.int/classifications/fulltext/locarno/enmain.htm> .

According to the Locarno Classification, Class 32 sub-class 01 is the class to which the items “graphic symbols and logos, surface patterns, ornamentation” belong. Under section 2 of the Registered Designs Ordinance (Cap. 522), “design” is defined as “features of shape, configuration, pattern or ornament applied to an article by any industrial process ...” and “article” means “any article of manufacture and includes any part of an article if that part is made and sold separately”.

Accordingly, if you wish to apply for registration of a design in respect of “graphic symbols and logos, surface patterns, ornamentation” (or any of the above items), you need to specify the article(s) to which the relevant Class 32 sub-class 01 items are to be applied and state the class and sub-class of the article(s) in accordance with the Locarno Classification. For example, if you wish to seek registration of a design in respect of an “ornamentation” and the ornamentation is to be applied to handbags, the article and the classification may be stated as “ornamentation for handbags” and “3-01” respectively in Form D1 instead of “ornamentation” and “32-01” respectively.

If you file an application for registration of design and wish to claim priority in your application based on a previous application for registration of the same design filed in a Paris Convention country or a World Trade Organization member, you are required to specify the article and the class in your application form as mentioned in the preceding paragraph even if such previous application is classified in Class 32 sub-class 01.

#### Part 05

The statement of article must be specific. Vague, general, or descriptive terms, fanciful names or trademarks should not be used. The Chinese and English statements of article should be consistent. Please see the notes on completion relating to Part 04 above.

#### Part 06

1. A statement of the features (shape, configuration, pattern or ornament) of the design for which novelty is claimed (“statement of novelty”) in both English and Chinese should be included in the application.
2. A statement of novelty is not required for a design intended to be applied to a textile article, to wallpaper or similar wall covering or to lace or to sets of textile articles or lace.
3. You can provide the statement of novelty by either of the following:
  - a). Marking any of the checkbox(es) of shape/形狀, configuration/構形, pattern/式樣 or ornament/裝飾 OR
  - b). Providing the statement of novelty in both English and Chinese in writing which can be expressed in the following way:

"The features of the design for which novelty is claimed are the (\*shape, configuration, pattern or ornament) of the article as shown in the representations.

表述所顯示的物品的(\*形狀、構形、式樣或裝飾)是聲稱具有新穎性的外觀設計特色。"

(\*delete as appropriate)

4. The statement of novelty must not include any description of the use of the article or its features, advantages or method of construction.
5. Where novelty is claimed for a particular part of an article, the statement of novelty can be expressed as follows :

"The features of the design for which novelty is claimed are the (\*shape, configuration, pattern or ornament) of the part (\*coloured blue, ringed in red, shown in solid lines) in the representations."

(\* delete as appropriate)

#### Part 07

If the design has been disclosed in circumstances where Section 9 of the Registered Designs Ordinance applies, give details.

#### Part 08

For Part 08 (d) to (f), state from whom and the date and name of instrument by which the applicant obtained the right to claim priority for the application.

#### Part 09

To be completed if the design is to be associated under section 11 of the Registered Designs Ordinance.

#### Part 10

To be completed if the application is divided out of an earlier application.

#### Representation(s) of design(s)

One set of the representations must be attached to the application form. Notes on representations are provided below.

## *Notes on representations*

1. Representations must be either drawings or photographs (except instant photos) affixed to good quality A4 size paper by adhesive tape.
2. One set of representations must be attached to form part of the application form.
3. Only one side of the paper should be used. Each sheet should show the total number of sheets in the set, for example, 1/3, 2/3 or sheet 1 of 3, sheet 2 of 3, etc.
4. The drawings or photographs should show only the article to which the design applies. No other objects should be shown and the representations should not show any corrections.
5. Representations should be labelled with short designations for each view. The views should include one perspective view.
6. Representations should show all details of the article clearly and should be capable of being reproduced clearly.
7. Representations should not contain any words that are merely descriptive and not intended for protection as part of the design.
8. Where a design is to be applied to a set of articles, the representations should show the design as applied to each different article included in the set.
9. For multiple applications, representations should be labelled M001, M002, etc. The representations for each of the multiple designs shall be affixed to separate sheets of A4 paper.
10. Sectional views are unnecessary unless they show some aspects of external shape which would not be clear from two-dimensional drawings or photographs. They should not show details of internal construction which would not usually be seen.
11. If sectional views are included, they must be blacked in solidly on the plane of cut to conceal all internal features not normally visible in the finished article.
12. If the applicant wants protection for only a particular part of a design, that part of the design in which novelty is claimed must be clearly and unambiguously identified, for example by colouring the part, or by encircling the part in ink, or by drawing it in solid lines and showing the remaining parts in dotted lines.

13. Disclaimers may be used by applicants to indicate elements of a design which are not intended for protection, such as trademarks, numerals, and symbols. If a disclaimer is necessary, it must be clearly presented in the representation with the claimed and unclaimed features of the design sufficiently distinguishable by appropriate means, such as blurring, hatching or colouration. The disclaimer should contain the following statement :

“No claim is made to [means of indicating the disclaimed elements] shown in the representation.”

## **Drawings**

14. Drawings should be not more than 210 mm by 297 mm (A4 size).
15. The lines of drawings must be even and boldly drawn.

## **Photographs**

16. Photographs should be not more than 210 mm by 297 mm (A4 size).
17. Photographs must be clear originals. The articles should be shown against a neutral plain background without shadows or reflections. Photographs must not be instant type photographs.
18. Photographs should be firmly affixed to the backing sheets by double-sided adhesive tape. Glue must not be used.